



3623

PTO/SB/21 (09-06)

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/686,516
Filing Date	10-11-2000
First Named Inventor	ARNETT ET AL
Art Unit	3623
Examiner Name	MEINECKE DIAZ, SUSANNA M
Total Number of Pages in This Submission	6 +
Attorney Docket Number	BL055-GN004

### ENCLOSURES (Check all that apply)

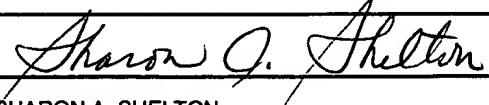
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
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<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
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<input type="checkbox"/> Remarks <b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT, INCLUDING FORMS PTO/SB/08A &amp; 08B + COPIES OF 2 NON-PATENT CITED REFERENCES</b>		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	TAFT, STETTINUS & HOLLISTER, LLP		
Signature			
Printed name	DAVID A. MANCINO		
Date	12-22-2006	Reg. No.	39,289

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Typed or printed name	SHARON A. SHELTON	Date 12-22-2006

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12/22/2006

(Date)

Sharon A. Shelton  
Sharon A. Shelton

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Application of:**

Applicant : Arnett, Nicholas D.  
Serial No. : 09/686,516  
Filed On : 10/11/2000  
Title : SYSTEM AND METHOD FOR COLLECTION AND ANALYSIS OF ELECTRONIC DISCUSSION MESSAGES  
Docket No. : BL055-GN004  
Examiner : Meinecke Diaz, Susanna M.  
Art Unit : 3623

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313

Dear Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

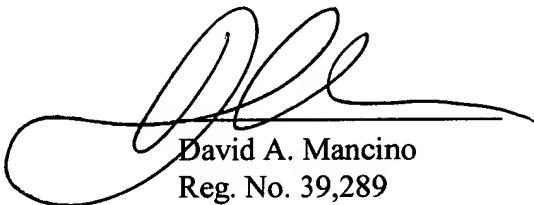
Pursuant to 37 C.F.R. § 1.97, the Examiner's attention is directed to the references listed on the attached Forms PTO-SB-08A and 08B. A copy of each of the non patent listed references is provided. Pursuant to 37 C.F.R. § 1.17(p), applicant states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information

**Information Disclosure Statement  
BL055-GN004  
Page 2**

disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

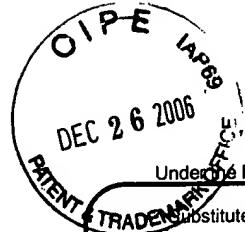
It is to be understood that the present disclosure of art is in no way intended to be a waiver of any arguments or defenses available to the Applicant under the rules of the U.S. Patent and Trademark Office and the statutes of the United States. No fee is required. The Commissioner is hereby authorized to charge any additional fees that may be required by this paper, or to credit any overpayment to Deposit Account 50-3072.

Respectfully submitted,



David A. Mancino  
Reg. No. 39,289

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~~2~~ Substitute for form 1449/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

Sheet 1 of 2

**Complete if Known**

Application Number	09/686,516
Filing Date	10-11-2000
First Named Inventor	ARNETT ET AL
Art Unit	3623
Examiner Name	DIAZ, SUSANNA M.
Attorney Docket Number	BL055-GN004

**U. S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Information Disclosure Statement for form 1449/PTOINFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2

of 2

Complete if Known	
Application Number	09/686,516
Filing Date	10-11-2000
First Named Inventor	ARNETT ET AL
Art Unit	3623
Examiner Name	DIAZ, SUSANNA M
Attorney Docket Number	BL055-GN004

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		KAHN ET AL., Categorizing Web Documents using Competitive Learning: An Ingrediant of a Personal Adaptive Agent, IEEE; c 1997	
		NAKASHIMA ET AL., Information Filtering for the Newspaper, IEEE; c 1997	

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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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